

07/13/01  
1000 U.S. PTO  
09/905174  
07/13/01

07-16-01

A

EXPRESS MAIL LABEL NO. EL685694219US  
DATED: 13 July 2001

ATTY DOCKET: BLFR 1006-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Commissioner of Patents  
Washington, D.C. 20231  
Sir:

1000 U.S. PTO  
09/905174  
07/13/01

UTILITY PATENT APPLICATION TRANSMITTAL LETTER

Enclosed for filing is ☐ an original patent application or, ☒ a continuation-in-part patent application by **ROBERT DVORAK and BETH LI-JU CHEN** for **A METHOD AND APPARATUS FOR HANDLING DISRUPTIVE EVENTS AND REPLACEMENT ITEMS**.

Also enclosed are:

- ☒ 3 sheet(s) of ☒ formal ☐ informal drawing(s);
- ☒ 13 sheet(s) of specification/abstract;
- ☒ 8 sheet(s) of claims;
- ☐ a claim for foreign priority under 35 U.S.C. §§ 119 and/or 365 in ☐ a separate document ☐ the declaration;
- ☐ a certified copy of the priority document;
- ☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i).
- ☒ **Applicant claims small entity status. See Rule 37 C.F.R. 1.27.**
- ☐ an Assignment document and form PTO-1595;
- ☐ ☐ a declaration of the inventor(s); ☐ combined declaration and power of attorney.
- ☐ Disclosure Statement, PTO-Form 1449 and      references.
- ☐ Power of Attorney By Assignee

The fee has been calculated as follows:

CLAIMS					
	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application Fee					\$710.00
Total Claims	56	MINUS 20 =	36	X \$18.00 =	\$648.00
Independent Claims	5	MINUS 3 =	2	X \$80.00 =	\$160.00
If multiple dependent claims are presented, add \$270.00					-0-
Total Application Fee					\$ 1,518.00
If verified statement claiming small entity status is enclosed, subtract 50% of Total Application Fee					\$759.00
Add Recording Fee of \$40.00 if Assignment document is enclosed					-0-
TOTAL APPLICATION FEE DUE					\$ 759.00

- ☐ Check No.      in the amount of \$      is enclosed.
- ☐ Charge \$      to Deposit Account No. 50-0869.
- ☒ **Fees will be paid when responding to the Notice to File Missing Parts.**
- ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-0869 (BLFR 1006-1). **Two copies of this transmittal letter are enclosed.**

Respectfully submitted,

Date: 13 July 2001

HAYNES & BEFFEL LLP  
P.O. Box 366  
Half Moon Bay, CA 94019  
(650) 712-0340 (phone)  
(650) 712-0263 (fax)

By: Ernest J. Beffel, Jr.  
Ernest J. Beffel, Jr., Reg. No. 43,489

CUSTOMER NO. 22470

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	ROBERT DVORAK et al.
	Title	A METHOD AND APPARATUS FOR HANDLING DISRUPTIVE EVENTS AND REPLACEMENT ITEMS
	Atty Docket Number	BLFR 1006-1

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

13 July 2001

Date



Signature

ERNEST J. BEFFEL, JR. REG. NO. 43,489

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

FORM 4350-10-00